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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004860.P2475Total Pages 2First Named Inventor or Application Identifier REID, GlennExpress Mail Label No. EL470494768US

ADDRESS TO: **Commissioner for Patents
Box Patent Application
Washington, D. C. 20231**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 54)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 10)
4. X Oath or Declaration (Total Pages 5) (unsigned)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents(s))
9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)
☐ b. Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ a. Small Entity Statement(s)
☐ b. Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: ☐

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17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No: ☐

18. **Correspondence Address**

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FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) \$ 1,006.00

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 Filing Date October 4, 2000
 First Named Inventor REID, Glenn
 Group Art Unit Not Yet Assigned
 Examiner Name Not Yet Assigned
 Attorney Docket No. 004860.P2475

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

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FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
101	690	201	345	Utility application filing fee	<u>710.00</u>
106	310	206	155	Design application filing fee	_____
107	480	207	240	Plant filing fee	_____
108	690	208	345	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1)					<u>\$ 710.00</u>

2. EXTRA CLAIM FEES

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>32</u>	- 20** = <u>12</u>	X 18.00 =	<u>216.00</u>
Independent Claims	<u>4</u>	- 3** = <u>1</u>	X 80.00 =	<u>80.00</u>
Multiple Dependent				= _____

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					<u>\$ 296.00</u>

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	690	246	345	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	690	249	345	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____
SUBTOTAL (3) \$					NA

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SUBMITTED BY:

Typed or Printed Name: Lisa N. Benado
 Signature: [Signature] Date: October 4, 2000
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UNITED STATES PATENT APPLICATION

For

LAYERED GRAPHICAL USER INTERFACE

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Attorney's Docket No.: 004860.P2475

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LAYERED GRAPHICAL USER INTERFACE

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10 FIELD OF THE INVENTION

The present invention relates generally to methods of providing a user interface
for directing task(s) using a processing system, and more particularly to methods of
creating a graphical user interface having user control(s) through a layered graphic file.

15 BACKGROUND

Graphical user interfaces (GUIs) provide a picturesque display of computer
output and controls for a user to direct various operations of software. GUIs allow for
endless possibilities of creating a user-friendly environment in which to view and
interact with computer systems.

20 It is usually important for a user interface to have a look and feel that is
comfortable for the user. For many application programs, e.g. word processors,
database programs, Web browsers, development tools, editing programs,
communication programs, etc., it is desirable for the user interface to be designed with a
control panel that it provides for ease of use. For example, in editing applications
25 simple GUIs are especially significant.

Some editing applications involve the manipulation of time based streams of
information by novice users to create presentations. These time-based streams of
information may be in the form of full motion images, e.g. video and movies; audio,
e.g. music, sound effects, and voice/narration; motion graphics, e.g. animation; still
30 images, e.g. text, pictures; and combinations thereof. The information is usually
comprised of a stream of individual units, e.g. frames or blocks. Frequently, this
information is in a digital format and resides on any of a number of conventional
electronic mediums.

Some prior editing systems include Studio DV from Pinnacle Systems Inc. of Santa Clara, California; Avid Cinema® from Avid Technology, Inc. of Tewksbury, Massachusetts; Adobe Premier® from Adobe of San Jose, California; Dazzle* Digital Video Creator™ from Dazzle Multimedia of Fremont, California; and DVgate™ from Sony Corporation of Tokyo, Japan.

Digital editing is typically performed in an editing processing system and involves random retrieval of information from storage, communication of the information, *e.g.* display on a monitor, and then manipulation of the information to produce the desired presentation. The presentation often appears as a seamless flow of the edited information.

Traditionally, editing of multimedia to produce presentations involved complex techniques performed by trained professionals. However, recently novice users have become interested in making presentations, as well. The processing of multimedia to produce sophisticated home movies, web sites, business presentations, marketing demonstrations, amateur movies, etc. has been gaining in popularity.

Given the currently diverse level of skills among authors of presentations, it is desirable to provide processing systems that are easy and convenient to operate. Such systems should have simple user interface displays from which a user may work.

In general, a user may direct software operations by employing tools, referred to as “control elements,” *e.g.* buttons, menus, windows, text, etc, that are provided by a computer system. Typically, at least some of the control elements are displayed on the user interface. Control elements may be active in instructing the software to execute operations, such as output information, or may be passive to simply display useful information on the screen, such as windows showing stored data. Several attributes of control elements presented on the display, such as the control elements’ presentation, behavior, function, etc., influence the overall convenience of the GUI.

Applications for the GUI often vary among users and in certain uses control elements may be more important than other controls.. For example, different GUI’s may be used to generate presentations for business talks, home movies, academic lectures, etc. However, most prior systems have fixed user interfaces that waste precious screen real estate on control elements that are less important for a particular application. It would be useful, then, for a processing system to provide a user interface that may be redesigned to emphasize only the desired control elements and to show

them in a preferred manner. Designers of the GUI should be permitted to easily change the characteristics of the control elements.

Previously, GUI's have been created by first drawing the look of an image, e.g. the control element, with a graphic file and then converting the drawn picture into an intermediary format containing details regarding the image. The intermediate format is used to add various aspects of a control element, such its function, behavior, location, and/or the like. Such an intermediate format is any resource or file that is complementary to the application or built into the application that is required to fully describe a control element on a GUI. The intermediate format is picked up by an application program and depicted on a display. Since the user interface is a representation of the intermediary format, the user interface may not be altered through the graphic file without reconvertng the entire file to the intermediary format. Thus, a control element may not be altered without recompiling the complete GUI.

Whenever any component of the GUI is changed, a designer of the user interface must patiently wait while for the entire interface to be reconverted. As a result, much time is wasted while the designer remains idle. The lack of immediate feedback is inconvenient, especially when multiple attempts are made at editing before the desired modifications are achieved. However, with these previous processing systems, the designer may not inspect even the most minor changes to determine if the edits are acceptable until after the intermediate format step is complete and then accessed by the application. By comparison, it would be beneficial for a system to permit the designer to immediately view any alterations made to the interface. Quick feedback of revisions speeds up the editing of the GUI and simplifies revisions.

Another drawback with these previous methods is that some of the details of the GUI may become lost in the conversion of the graphics drawing into the intermediary format. By contrast, elimination of the intermediate format step reduces the risk of lost details. Furthermore, by avoiding the intermediate format step, there is one less process that may provide bugs in the production of a GUI.

In light of the shortcomings of the various currently available systems, there is still a need for a quick and simple method of creating a GUI in a manner. In particular, there is an interest in a processing system that allows a designer to easily edit the display of control elements on a GUI.

SUMMARY OF THE INVENTION

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In one embodiment of the present invention, a method for producing a graphical user interface having at least one control element for use in controlling software operations is provided. The method includes storing a graphic file that has at least one control object each organized in a separate layer. An application program to display a control element on the graphical user interface and access the graphic file is launched.
5 The control element that is shown on the user interface has at least one attribute that is dictated by one of the control objects. This control element may be an edit control for manipulating a time based stream of information.

10 In one aspect of the method the graphic file has a control object dictating one attribute of the control element and located in one layer as well as another control object in a separate layer dictating another attribute of the same control element. These control elements may share the same common route name and the layers may optionally be grouped together.

15 The graphic file is often editable so that a customized user interface may be produced by adding, deleting or changing the control objects within the layers. The entire GUI need not be converted to an intermediate format for each modification. Thus, the revised GUI may be immediately displayed. At times, this manipulation does not effect other portions of the graphic file unless the layer is grouped with another layer. Some of the attributes that are defined by the control objects include
20 appearance, location or size of the element on the user interface. The control objects may also dictate the element type, state, function or behavior in a particular environment.

25 In order to generate the GUI, a processing system may be employed which has a processor for storing the graphic file that has the control object(s) in a separate layer(s), and for launching the application program that accesses the graphic file and displays the control element on the graphical user interface. In another embodiment of the processing system, there includes a means for storing the graphic file and launching the application program. A means for altering the attribute by a single control object may also be provided.

30 According to still further embodiments, a computer readable medium may be provided that has stored therein a plurality of sequences of instructions, which, when executed by a processing system, cause the processor to perform a variety of processes to related to producing the GUI with at least one control element. The instructions command the processor to store the graphic file and execute the application program. In

some cases, the control element is an edit control to manipulate a time-based stream of information. Of course, other embodiments may provide only the instructions themselves or methods of performing these steps.

Other features and advantages of these and other embodiments are discussed in detail below.

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BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example, and not limitation, in the figures of the accompanying drawings in which:

Figure 1 illustrates one embodiment of a working environment in which information may be processed, in accordance with the teachings presented herein.

Figure 2 is a block diagram of one embodiment of a processing system, configured in accordance with the teachings presented herein.

Figure 3A – 3C illustrates examples of various areas of storage, wherein **Figure 3A** shows one embodiment of an information storage area having clips of time based streams of information, **Figure 3B** shows one embodiment of a reference storage area and **Figure 3C** depicts one presentation storage area during generating of a presentation.

Figure 4 is an illustration of an image page having control objects in a graphic file, in accordance with the teachings presented herein.

Figure 5 is an illustration of a list page having control objects in individual layers in a graphic file, in accordance with the teachings presented herein.

Figure 6 illustrates a flow chart depicting one method for changing a user interface for editing according to the present invention.

Figures 7A – 7C are examples of user interfaces for use in editing, according to one embodiment of the present invention, wherein **Figure 7A** is a GUI showing a reference icon, **Figure 7B** is a GUI showing controls for editing text into a presentation and **Figure 7C** is a GUI showing controls for editing transitions into a presentation.

Figure 8 is a block diagram of a machine-readable medium storing executable code and/or other data to provide one or a combination of mechanisms for processing information, configured in accordance with one embodiment of the present invention.

DETAILED DESCRIPTION

The methods described below are employed by a processing system to produce a layered graphical user interface with at least one control element defined by stored control objects. The control objects for each control element are stored in separate layers in a graphic file. The user interface is displayed by launching an application program that accesses the graphic file. The control objects are capable of controlling at least one attribute of a control element shown by the application program. The layered user interface, according to the present invention, permits a GUI designer to configure the control elements of the user interface to create a customized look and feel.

In one embodiment, the processing system is an authoring tool to provide editing of a time based stream of information to generate a presentation. Through the graphical user interface, the editing processing system permits a user to easily control the editing process and select the information to be included in the presentation. The time based stream of information and related presentation created by use of the editing processing system may be of any form, such as full motion images with audio, full images without audio, audio only, still images, motion graphics or any combination thereof.

HARDWARE OVERVIEW

A processing system may be employed in a variety of contexts where a graphical user interface permits a user to dictate various tasks performed by the processing system. **Figure 1** illustrates one exemplary working environment **10** in which a graphical user interface may facilitate a user to control software operations to process information, e.g. processing of a time based stream of information to produce a presentation. A processing system **12**, according to one embodiment of the present invention, is in communication with an information source **80** that has information, at a capture port **14** (i.e. information collection interface) and optionally through an intermediate digital converter **82**. Processing system **12** may read, copy, manipulate, store, delete, output, print and/or transfer information provided by source **80**. An optional external player **84** receives communication from processing system **12**, indirectly from a transfer port **16** and through pathway **86**, and/or directly through a removable storage medium **18**. Although **Figure 1** demonstrates one layout of working environment **10**, the scope of the present invention anticipates any number of

information sources and players, coupled and arranged in various fashions and configured in accordance herewith. Furthermore, the system for using a graphical user interface may be utilized without an information source, digital converter and/or external player.

5 The information source **80** is any type of device that generates and transfers data or data signals related to the information. The information source **80** may be a photographic or full motion image capture interface such as a camera, camcorder, digital camera, etc.; a sound capture interface, such as a laser disc player (e.g. CD player), tape player/recorder, MP3 player, microphone, etc.; a keyboard; a scanner; a
10 computer; a server; a computer program; the Internet, a sensor; any one of numerous available data collection devices; etc.

 The information source may generate digital data signals and be communicatively coupled directly to the processing system **12**. Alternatively, the information source may generate signals in a format other than digital, e.g. analog
15 signals. As shown in Figure **2**, such non-digital data source may send signals to a digital converter **82** for changing the signals into a digital format prior to transfer of the data to the processing system **12**. In another configuration, the information source **80** resides in the system, such as a software file having data that is imported to an application program employed by the present invention.

20 Communication between the information source **80** and the processing system **12** is often through means of a FireWire® (from Apple Computer, Inc. of Cupertino, California), iLink or IEEE 1394 communication protocol. Where a FireWire brand protocol is employed, capture port **14** in the processing system may be a six-pin FireWire brand port. Also, a corresponding four-pin FireWire brand port may be
25 present on the digital converter **82**, where a digital converter is included, and/or on the information source **80**. In this case, a six-pin-to-four-pin cable may be used to connect the ports of the digital converter **82** or information source **80** and processing system **12**.

 Other communication schemes are also suitable for transfer of data from information source **80** and/or digital converter **82** to the processing system **12**, such as
30 an Ethernet connection (i.e., capture port **14** may be an Ethernet port), serial interfaces, parallel interfaces, RS422 and/or RS432 interfaces, Livewire interfaces, Appletalk busses, small computer system interfaces (SCSI), ATM busses and/or networks, token ring and/or other local area networks, universal serial buses (USB), PCI buses and

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wireless (e.g., infrared) connections, Internet connections, and other communication links for conveying the time based stream of information from an information source **80** to the processing system **12**. In addition, source **80** may store the information on a removable storage source, which is coupled to, e.g. inserted into, the processing system **12** and in communication with the capture port **14**. For example, the source **80** may be a tape, CD, hard drive, disc or other removable storage medium.

The processing system **12** is any device configured to receive the information and manipulate the information, for example to generate a presentation. The processing system **12** may be a computer system, workstation, and the like. In one embodiment, the processing system **12** includes a platform **20**, e.g. a personal computer (PC), such as a Macintosh® (from Apple Computer, Inc. of Cupertino, California), Windows®-based PC (from Microsoft Corporation of Redmond, Washington), or one of a wide variety of hardware platforms that runs the UNIX operating system or other operating systems. Often, the processing system **12** is configured to send the resulting information to an external player **84**.

The external player **84** may be an IP host, a computer, a personal digital assistance (PDA), a server, a tape/CD/MP3 player, or other device that is configured to receive the information from processing system **12** and to display the information. In one instance of a working environment, the external player **84** is the same device as the information source **80**, such as a camcorder. In still another case, no external player is provided and the information is played on the processing system and/or projected from the processing system onto a medium, e.g. a screen.

The external player **84** may receive information directly through removable storage **18** from the processing system **12**. Removable storage **18** represents any of a number of detachable storage mediums, e.g. magnetic, optical, magneto-optical, tape, and/or other type of machine-readable medium or device for storing information. For example, the removable storage **18** may be a hard disk, a read-only or writeable optical CD (e.g. CD ROM, DVD), a disc, tape, etc.

External player **84** may also receive presentation information indirectly from the processing system **12**, such as through a pathway **86**. The communication pathway **86** may be through various networking mechanisms, such as a FireWire brand connection (iLink or IEEE 1394 connection), LAN, WAN, telephone line, serial line Internet protocol (SLIP), point-to-point protocol (PPP), an XDSL link, a satellite or other

wireless link, a cable modem, ATM network connection, an ISDN line, a DSL line, Ethernet, or other communication link between the processing system and external player.

The processing system has components for handling information in various ways. The processing system **12** in **Figure 2** includes an optional capture port **14** for acquiring the information, a storage **30** for holding information and control tools, a processor **26** for manipulating the information and performing other operations, an optional process manager **66** for instructing the processor on editing and storage of information, a display **70** for showing a user interface and possibly a transfer port **16** or removable storage **18** for sending the information so processed. Upon review of this specification, it will be appreciated by those skilled in the art that the components of processing system **12** may be connected in various ways in addition to those described herein.

Furthermore, although **Figure 2** shows these components in the context of an editing processing system for generating a presentation of a time based stream of information, it should be recognized that the processing system described below is only one example of a system that may use the GUI produced by the methods presented herein. The description of a processing system herein is not intended to limit the scope of the present invention to such a processing system and its components. Thus, the present invention anticipates the production and use of the GUI in a variety of other systems where a graphic file is directly accessed to generate the GUI with its various control elements. Other systems may employ the GUI of the present invention for controlling any software application, such as a word processor, database program, Web browser, development tool, editing program, communication program, and the like.

Where an editing processing system is used with the GUI, at least one time based stream of information is assembled into a presentation. For example, a video may be edited and combined with audio, text, effects and/or graphics to create a presentation. A "presentation" is any changed version of an original time-based stream of information or a modified copy of the original information. In addition, a "presentation" also may further be defined by at least one instruction for representing the original information in a changed form. In such an instruction-based presentation, the information is communicated in the manner according to the instruction. For instance, a software program may include directions on how a digital video should be played, where only certain frames of a video are chosen for display.

A portion or portions of sequential information, also referred to as a “clip,” such as a continuum of segments, frame, block or footage, may be rearranged into a desired order. Editing may further entail removing portions of clips. During editing, particular “edit features” may be added to the presentation, such as transitions between clips, special effects (e.g., black and white, brightness, contrast, texture, echoes, compositing, blurring, etc.), text, graphics, black background, silent audio segments, combinations of different types of time-based streams of information, such as audio and still images, and the like. Various types of editing may be performed as new techniques for altering raw information are created.

In general, editing processing systems require that the time based stream of information be captured from a media source and entered into the system. The information, as well as the newly created presentation, is stored within memory of the system. Typically, the presentation is in the form of references that correspond to the stored information. The references have instructions on handling the information. These editing processing systems permit the user to control what is included in the presentation by moving the in and out points in the references to indicate the segments of information that are to be accessed. Thus, a presentation may include instructions regarding the portion of the information in which output is to begin and the portion of the information where the output is to cease. For example, the references may direct the select portions of the information that are to be included in the presentation. The references also may include edit features to be added to the presentation. Through a user interface presented on a display, reference elements that represent the references in storage are manipulated. In these instruction-based editing processing systems a user’s edit choices are embodied by the references.

Now referring in more detail to the components shown in **Figure 2**, processing system **12** includes processor **26**, which may represent one or more processors. Some exemplary processors are a Motorola Power PC processor, an Intel Pentium (or x86) processor, etc. The processor **26** may also be a microprocessor. The processor **26** runs an operating system and applications software that controls the operation of other system components. The processor may be configured to perform multitasking of several processes at the same time. The processor may perform the operations required to produce the GUI, such as storing a graphic file having at least one control object and launching an application program to access the graphic file.

Storage 30 is coupled to processor 26 by a bus 22. The storage 30 may be a dynamic random access memory (DRAM) and/or may include static RAM (SRAM). Typically the storage is a hard disk drive, but may alternatively be another magnetic disk, a magneto-optical disk or other read/write device. The processor may also be coupled to other types of alternative storage areas that may be considered as part of the storage 30 or separate from the storage 30. Such alternative storage areas may include cache, flash memory, etc., and which may also be considered the removable storage 18. Usually storage 30 has a capacity in the megabyte to gigabyte range, and more typically, at least 64 megabytes capacity and can sustain throughput of at least 7 megabytes per second of information. However, as technology advances, the capacity of the storage may increase.

The storage 30, as used in a processing system, may contain information within files as well as executable code that may provide functionality for processing the information. For example, a time based stream of information may be stored within files in an information storage area 50. One exemplary information storage area 50 is depicted in **Figure 3A** as having a segment of a time based stream of information, clip A 100 with units (0 to 50), and another fragment, clip B 110 having units (0 to 30).

A storage in an editing processing system also may have at least one and usually multiple references to the stored information. The reference includes data, e.g. instructions, on how the processor is to read and/or manipulate the stored information. Typically, a reference is an object that corresponds to an individual clip of information. The reference data include at least one in-point that specifies where the processor is to start accessing the stream of information and at least one out-point that identifies where to stop access of the information.

The reference data may also contain instructions on adding particular edit features to a presentation that are in addition to the stored information and location data on where such edit features are to be presented in the time based stream of information. Some edit features are text, transitions, graphics, special effects (e.g. black and white, brightness, contrast, texture, echoes, compositing, blurring, etc.), black background, silent audio segments, overlays, three-dimensional images, audio, etc. In one configuration, edit features are provided by a plug-in or Active-X control and made available to an editing application program used herein. The edit features available for use with the present invention may be changed and new edit features added to the system. Edit features are any modifications that may be made to the units of the original

time based stream of information for use in the presentation, that exist with current editing technology or may be created in the future.

The references to the stored information may reside in a reference storage area 52. One embodiment of reference storage areas 52 representing edited references based on the information is shown in **Figure 3B**, where reference storage area 52 corresponds to the information of **Figure 3A** with modified reference A1 (0 to 15) 106 corresponding to clip A, but only from units (0 to 15) 100, a copy reference A2 (0 to 50) 104 and reference B (0 to 30) 112 corresponding to clip B units (0 to 30) 110.

The stored references may be indexed in a reference database 32 within the storage 30. Each entry 34 in the database is to a reference and provides various data found within the reference, such as the associated clip 36, an in-point 38 and an out-point 40. For example, a reference (1) may indicate clip (A) with an in-point at frame (0) and out-point at frame (60). The database may also include other reference data that assists in generating a presentation.

The presentation that is generated by an editing processing system, as represented by the references, may be stored in presentation storage area 54. The presentation storage area includes data related to when the information is to be displayed along a presentation time line 56. One presentation storage area 54 that draws upon the pool of reference data from **Figure 3B** is shown in **Figure 3C** and begin with reference A1 (0 to 15) 106 corresponding to clip A units (0 to 15) 100 and immediately followed by reference B 112 corresponding to clip B units (0 to 30) 110.

The storage 30 also has data that is used to project the graphical user interface. A graphic file 56 is stored with at least one control object 60 having instructions for the control elements, that are displayed on the user interface. The graphic file 56 may be produced by any multiple layered-type computer program for creating and manipulating graphic images on a computer, where separate layers have control object(s), e.g. Adobe Photoshop®, by Adobe Systems Incorporated, located in San Jose, CA.; and Paint Shop Pro™, by Jasc Software, Inc., located in Eden Prairie, MN.

The control object 60 in the graphic file 56 dictates the attribute(s) for visible control elements, i.e. images, displayed on a user interface for creating the presentation through the use of references. Active control elements may be used to control any software task and passive control elements may be used to output information or data. In an editing processing system, for example, least one control element is an edit control to allow a user to manipulate a time based stream of information and design a

presentation or otherwise control it. One control object may define one or several attributes for a given control element and different types of control objects may be in pages within the graphic file.

Some of the control objects determine attributes that are related to the bits or pictures drawn from each layer in the graphic file to the user interface. Such attributes include the appearance of the control element, such as color, shape and text (font, size and/or wording). Other attributes may be the location of the element on the user interface and the size of the element. These picture-related control objects may be embodied in an image page **200** in the graphic file **56** as shown in **Figure 4**. The control objects **202** depict each control element as they would substantially appear on the user interface. Thus, the graphic file, through control objects stored in separate layers, contains the attributes required to display the entire GUI and there is no need to convert the images from a graphic file to an intermediate format.

In order to change, add or delete an attribute, a control object **202** is selected from or added to the image page **200** and the particular attribute reconfigured using commands as set forth by the graphic file program, e.g. through menu selection. For example, to relocate a control element on the user interface, the corresponding control object may be selected, dragged to new position and dropped into place, e.g. by use of a mouse. Similarly, to change the size of a control element, the associated control object may be selected and expanded or reduced, e.g. via cursor movement or menu selection. In addition, to change the text appearing on a control element, the control object may be again selected and the text retyped, e.g. via keyboard input.

Other attributes of control elements are behavior-related to determine the function that the control element represents. The control objects that correspond to control element behavior may be recorded in a layer list page **204** in the graphic file **56**. One such layer list page **204** is shown in **Figure 5**. The page has multiple layers **208** of control objects **206** describing some typical behavior-related attributes, where usually each entry represents a single layer having a control object. One attribute typically defined in a layer list page is the name **210** of the control element, e.g. Play Full Screen, Title Color, Transition Popup Pane, Trash Can, etc. A control object **206** also may describe the type **212** of the corresponding control element, such as button, slider, static text, table pane, pop-up tab pane, etc. In addition, the state **214** of the element may be identified by a control object, especially where the associated control element has multiple states. Some such state attributes include pressed, up, down, disable, uproll,

etc. Several states may be defined for a particular control element by separate control objects for each state, where individual control objects for the same control element are located in separate layers, share a common root name and specify the characteristics of the element for each of the different states. For example, Play Full Screen may be a name for a control element assigned to several control objects for particular states of the Play Full Screen control element. Further to this example, a control object may dictate that when the Play Full Screen element is in the “up” state, the full screen view of a selection is played. The command or function **216** of the element is another common attribute identified by a control object. Examples of functions include playing the full screen, preview the title, etc. In addition, the behavior **218** of the element may be defined for a particular environment, such as the behavior in different resolutions in which the application program may be executed. A control object may describe the location of a control element at 800 x 600 resolution and the location at 1024 x 768. For example, a layer named “TitlePopupPane” may have a description, “anchor:br move:fixed resize:fixed” to specify that the TitlePopupPane is anchored to the bottom right corner of its parent pane, so if the parent pane is resized, the TitlePopupPane remains the same distance from the bottom right corner of the parent pane, as specified by the graphic file. Other objects may describe an element as “sticking” to a corner or side of a window; resize horizontally, vertically or in both directions; or stay centered. The attributes may also include any other feature that describes a given control element, some of which attributes may be specific to a particular control element. In addition to the methods described herein, the attributes may also be defined by various other means of drawing control objects to individual layers, as established by the particular graphic file employed.

In order to alter the control elements (attribute) represented by control objects on the layer list page **204**, the control object in a layer is reconfigured. The control object in a particular layer is selected and the description of the attribute of interest is changed, e.g. retyped via keyboard input or menu selection. For convenience, control objects in multiple layers may optionally be grouped together so that all objects of a particular group may be described as acting in the same manner or changes to one member of a group will be automatically transferred to the other group members. In addition, members of a group may be further subdivided into subgroups. Another type of connection is a link among layers through link control **220**. Linked layers may be

placed in the same general location in the graphic file and attributes changes made to any single layer are applied to all associated links.

Typically, each control object is contained in an individual layer in the graphic file. The layers permit the user interface to be worked on as if it was on a series of transparent overlays. Each control element of the user interface may be treated as an independent entity. Thus, any control element may be selected and changed without affecting the other control elements. A control element may have one or many associated control objects related to different states or aspect of the control element, e.g. 1 to 100 layers may relate to a single control element. The order in which the layers are listed in the graphic file determines the order in which the control elements are written in the user interface. Usually, the interface is drawn by first retrieving the bottom layer and then proceeding to the top layer and the top of the list appears on the user interface in front of the layers at the bottom.

According to the present invention, the graphic file is accessed directly by an application program 64, e.g. video editing software. The application program 64 retrieves the drawn layer data to display the components of the user interface. The graphic file is thereby opened in its native file format. The graphic file may be maintained by an operating system as a file that is separate from the application program. By this direct access configuration, a GUI designer may easily change any aspect of the user interface at any time by altering the graphic file and redrawing individual layers contained therein.

As shown by the flow chart in **Figure 6**, in order to change an attribute of a control element on the user interface, the corresponding control object in a particular layer in the graphic file is retrieved 222. The description of the attribute in the isolated control object is changed as desired 224 and saved 226, usually without affecting the control objects in any other layer unless the particular layer is grouped (or subgrouped) with or linked to other layers. The application program reads the revised graphic file 228 and immediately depicts the new user interface 229 for viewing by the user.

Active control elements, such as those displayed on the GUI, may be activated by the user through input/output device 92. In order to input commands and output responses, the processor 24 of the system for example the processing system 12 shown in **Figure 2**, is coupled by bus 22 to an input/output (I/O) control 90. The I/O control 90 commands one or multiple I/O device(s) 92. Some conventional I/O devices are key boards, mouses/trackballs or other pointing devices, microphones, speakers, magnetic

disk drives, optical disk drives, printers, scanners, digital cameras, etc. There are a variety of interactive mechanisms to activate the control elements, such as keyboard input, voice command, mouse manipulation, text entry, pen-to-text data entry device, touch screen, network signals, satellite transmissions, preprogrammed triggers within the system, instructional input from other applications, etc.

The control elements respond to activation by sending instructions to the processor. For example, a processing control element conveys directions to the processor regarding how a clip is to be treated or displayed. Each control element includes properties that describe how it will react when selected by the user or other means.

The processing system may contain any number of different types of control elements. All of the control elements described herein are by way of example and are not intended to limit the choices that are or may become available in the art.

Some forms of control elements that are visible on the screen are buttons, “pop-up” or pull-down menus, scroll bars, panes and iconic images. Other control elements may be invisible to the screen and thus are not included on the GUI. Control elements that are not visible on the screen may be present on input/output devices, such as a keyboard that is in communication with the I/O control. For example, a space bar on a keyboard may also be depressed to start capture and depressed again to stop capture of the information on the fly while playing the time based information during capture mode.

The table shown below describes other control elements that may be present on the keyboard of a processing system for manipulating a time-based stream of information. The items in the “action” column describe the command activated by depressing the keyboard button and the items in the “shortcut” column are the corresponding keyboard buttons.

Keyboard shortcuts Navigation

Action	Shortcut
Play/Stop and Start/Stop Capture	Space Bar
Play-head to Beginning of Movie	Home

Play-head to End of Movie	End
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Forward One Frame	Right Arrow
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Forward Ten Frames	Shift + Right Arrow
--------------------	---------------------

Roll Play-head Forward	Hold Down Right Arrow
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Search Forward (fforward)	Command +]
---------------------------	-------------

Back One Frame	Left Arrow
----------------	------------

Back Ten Frames	Shift + Left Arrow
-----------------	--------------------

Roll Play-head Backward	Hold Down Left Arrow
-------------------------	----------------------

Search Backward (rewind)	Command + [
--------------------------	-------------

Selection

Action	Shortcut
---------------	-----------------

Multiple Selection	Shift + Click Item
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Multiple Selection (range)	Click 1st item then Shift + Click last item
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Moving/Cropping

Action	Shortcut
---------------	-----------------

Move Audio Clip	Click Clip + Left or Right Arrow
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Move Audio Clip Ten Frames	Click Clip, then Shift + Left or Right Arrow
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Move Crop Marker	Click Marker + Left or Right Arrow
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Move Crop Marker Ten Click	Marker,	then
Frames	Shift +Left or Right Arrow	

Accept/Cancel

Action	Shortcut
Accept Dialogs (OK)	Return
Cancel Dialogs	Esc or Command + C
Cancel Rendering	Command + . (period)

Volume

Action	Shortcut
Increase System Volume	Up Arrow
Decrease System Volume	Down Arrow

Titling

Action	Shortcut
Move Between Text Fields	Tab

File Menu Functions

Action	Shortcut
New Project	Command + N
Open Project	Command + O
Save Project	Command + S

Export Movie	Command + E
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Save Frame As	Command + F
---------------	-------------

Import File	Command + I
-------------	-------------

Quit	Command + Q
------	-------------

Edit Menu Functions

Action	Shortcut
---------------	-----------------

Undo	Command + Z
------	-------------

Redo	Shift + Command + Z
------	---------------------

Cut	Command + X
-----	-------------

Copy	Command + C
------	-------------

Paste	Command + V
-------	-------------

Crop	Command + K
------	-------------

Split Clip at Play-head	Command + T
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Select All	Command + A
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Select None	Command + D
-------------	-------------

Help Menu Functions

Action	Shortcut
Imovie Help	Command + ? or Help key

To show the visible control elements, as shown in **Figure 2**, a display control 74, e.g. video board, is coupled to the processor 26 through bus 22. The display control 74 communicates with the display 70 in the processing system 12 to couple the display 70 to the platform 20. Display 70 may be any one of a number of conventional display devices such as a liquid crystal display, cathode ray tube, plasma display, video display, or other type of display device. For sharp colors and grayscale, display 70 may be an SVGA monitor, with a resolution of 800 x 600 (26 dpi) or better, with an active area of at least 15 inches, and may be controlled using a true color video card. The visible control elements as well as other editing data may be depicted on a graphical user interface (GUI) that is shown on a display.

The GUI used in generating a presentation may have numerous innovations that allow a user to easily make edits and create a presentation. An exemplary GUI 230 for displaying various data related to both the capture of time based streams of information and processing of the information is depicted variously in **Figures 6A to 6C**. The present invention frequently has a single GUI to display enabled, i.e. operable, capture information and enabled, i.e. operable, process information. Such a GUI is functional for both capture and edit procedures, thus avoiding the necessity of switching to multiple GUI's to view and work with information relating to capture and information relating to the processing of the presentation. Any convenient portions of the screen may be used to show the capture and process information without the user needing to toggle between screens.

As shown in **Figure 6A**, the process information displayed on the GUI 230 may include at least one reference element 236, e.g. icon, with each reference element 236 being associated with a reference in storage that has data for a clip of the time based information contained in storage 30. Typically, the reference element 236 is displayed as a "thumbnail" in the form of a still image of the first frame of the associated clip. Where the reference has an edit feature, a description or depiction of the edit feature may also be included on the reference element, such as a portrayal of the edit feature

350, or a particular shape, size or texture to indicate the edit feature. For example, a reference to a transition may be in the form of a box 352.

Typically, control elements in the form of one or more windows are present in the GUI, which may be opened by processor 26 or by the user. One such user interface for use with an editing processing system illustrated in **Figure 7A** has several windows, such as a monitor window 246, time line 240 and edit box 268. The monitor window 246 may be the viewing portion of the display that shows the edit output. Various other windows may be present on the display of the processing system to provide at least one control element.

At any time during the edit process, the system may produce an edit output to reveal stored clips of the time based information for processing/editing e.g. the clip associated with a reference element may be viewed on the monitor window 246. The edit output may depict how the clips would appear in presentation after editing is complete. To view the information, a reference element may be selected and drug/dropped or cut/pasted onto the monitor window. However, such these actions do not necessarily result in the reference element being removed from its current place on the GUI, but simply allow the information to appear on the monitor window.

Similarly, the GUI in an editing processing system may also be used to depict or play the time based stream of information (referred to as a “capture output”), as well as other characteristics of the information that is being acquired. The capture output sets forth the current frames that are being received and read, such as newly acquired information that is present in a proxy, i.e. buffer, prior to being written into storage. During the capture mode, the monitor window 246 may exhibit the capture output of the time based information. By the dual use of the monitor window 246 for both edit output and capture output, the screen area is conserved and the user interface is simplified.

A monitor window time ruler 260 depicts where the play-head 262 as it travels along the course of the clip. A variety of output controls 248 may be used to move the play-head to various positions on the clip. When the play-head is at the frame of interest, the pause control 258 may be activated and the frame edited. In this manner, the user may select particular portions of information and instruct the system to edit the selected portion, e.g. deleting the selected portion from storage.

One set of control elements are output controls 248 that implement how the user chooses to view or listen to the information from the system. Output control elements

may direct the showing of the information on the monitor window **246**, the playing of information through audio speakers, or output through other convenient devices in communication with the system. There are several types of output controls **248** that may be provided by the processing system.

5 The output controls **248** may be used to move the play-head (*i.e.* pointer to indicate the location in the information available for use) to particular sections of the information stored in the storage. One such output control is a play control **250** that directs the system to play or show the information. Other kinds of output controls include a rewind control **252** and a forward control **254**. The play-head may be jogged
10 backward by the user depressing the rewind control **252** to shuttle the play-head in a reverse direction. The output of information is advanced by the user selecting the forward control **254** during playback to shift forward at faster than the normal play rate, e.g. double the speed. Also provided by the processing system may be a stop control **256** for ceasing the output action and a pause control **258** for temporarily suspending
15 the movement of the information from the system. In practice, the stop control **256** may be selected and then the rewind control **252** or forward control **254** selected to quickly skip forward or backwards through the footage.

 In one method of outputting the time based information through the use of output controls, the play control **250** is activated and then the rewind control **252** or
20 forward control **254** chosen to move to a position that is a immediately prior to the appropriate position for capture. The stop control **256** is selected and the play control **250** is reactivated. When the scene of interest is displayed or a particular portion of audio is played, the clip may be edited.

 Several additional output controls may also be employed for alternative ways of
25 communicating the information. For example, a full screen mode control **252** may be provided to permit the presentation to be shown in a window that spans the entire area of the display. Also, some control elements may adjust the quality of playback. A preferences option with a playback tab (not shown), and an edit pull down menu **254** are some optional quality controls. In addition, a sound control knob **256** functions to
30 adjust the intensity of audio.

 A smooth motion control may be present to allow the user to create a smoother playback than would be typically expected. Usually, the bandwidth necessary to display digital video requires that visual quality be compromised in order to deliver a high number of frames per second (fps) during playback. Also, a better image control may be

included to provide a crisper playback and more detail from each frame displayed. Such option for a better image is often at the expense of motion quality. The number of frames per second that may be displayed is dependent on the processing power of the system, where higher power results in higher frame rate and playback that is less choppy.

Further to the GUI used in an editing processing system, a time code **237** may also be provided to indicate the length of a clip. In addition, a space monitor element **234** may show the amount of storage space remaining or amount of space consumed by stored information.

Still other control elements may receive reference elements in order to manipulate the references in forming segments of a presentation. The user may input commands to select and relocate reference elements **236** or portion(s) thereof, to the several windows and portions on the GUI, such as a shelf **238**, a video time line **240**, an audio time line **242** or other portion of the display. One typical way for a user to select a reference element is by pointing a cursor on the reference element and clicking on it, such as via a mouse or keyboard stroke. However, a user may use other tools for selection such as menu choices, voice instructions, etc. A selected reference may be indicated on the GUI by a highlighted border **354** around the reference.

In one embodiment, a reference element is moved to various portions of the display by moving a pointer **356**, *e.g.* cursor, over the reference element and dragging the reference element to another portion of the display. The reference element is dropped over the intended spot on the GUI by releasing the pointer from the reference element.

Another means to move a reference element is through a cut and paste command. The reference element is selected and is made to disappear from the screen by activating a cut command. Activation of the cut command may occur by the user choosing a menu option, keyboard stroke, etc. An intended place for the relocation is selected and a paste command activated to prompt the reference element to reappear in the selected place.

In addition, other functionalities may be provided by the processing system to relocate the reference element on the GUI, such as mouse clicks, keyboard commands, menu options, various types of control elements, etc.

The reference to each clip that is to be included in the presentation is usually positioned in the presentation by arranging the reference element(s) along a time line

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240, 242 in the order that the associated information will appear in the presentation. The graphical representation of a visual time line 240 is for adding visual information, such as the time based stream of information in the form of full motion images, *e.g.* video and movies, motion graphics, *e.g.* animation, as well as still images, *e.g.* text, pictures, combinations thereof, and the like. The graphical representation of an audio time line 242 is for including audio information, such as music, sound effects, and voice/narration, etc. to the presentation. A user may opt for the audio synch time line to automatically display the references for each incoming stream of information. For example, the user may choose a preference control from a menu and select to have the references appear in the audio synch time line rather than the shelf portion of the user interface. The data corresponding to the time lines is stored in presentation storage area within the system.

Often, the processing system includes functionality to display and use only a single visual time line 240 which is capable of handling any type of edit feature and other visual information. The visual time line may include visual reference elements with any combination of the edit features to be included in the presentation. Where it is desired for an edit feature it be nested in another reference (*i.e.* clip), the reference element may be split into two reference elements and the reference element having the edit feature is positioned between the two on the time line. A time code 237 may be provided that indicates the length of a clip associated with the reference. Furthermore, the time line may include the total time 358 of the presentation. The time line also may have a scroll bar 360 to move up and down the length of the time line, so that the time line is not limited by the space provided by the display.

Edit features may be conveniently added to a presentation by use of an edit box 268 that includes various editing control options, such as titles control 270, transitions control 300, music control 330 and sound control 350. Specific sub-windows having edit controls may be included for the various edit features contained in the edit box. In one embodiment, a sub-window pops-up on the GUI by activating an edit control option, *e.g.* by a mouse click. The sub-windows may also be retrieved by selecting an existing reference that has an edit feature. Upon selecting such as reference, the sub-window to the reference's edit feature automatically appears on the screen for use in further editing. These pop-up windows save screen space and create an easy editing environment for the user.

Title control **270** includes instructions for adding text, such as headings, labels or captions to a clip. Selection of the title control **270** opens a title window **274** as shown in one embodiment in **Figure 6B**. A transition time ruler **276** may be provided to show the length of the selected clip and the position of the play-head **278**. The user may
5 navigate the play-head to various frames in the clip by positioning a cursor over the play-head through use of a mouse, or using other cursor positioning means. The mouse may be used by clicking and holding down on it and sliding the mouse to the left or right along the title time-line relative to the desired location of the play-head.

The title window **274** frequently also includes one or more text field(s) **280** for
10 describing the desired text. A position menu **282** permits the user to choose where and how the text is to appear on the frame. For example, the text may be a centered title, appear to bounce through the length of the frame, centered with multiple text lines, centered as a title, letters flying across the frame, words flying, music video, rolling center credits, rolling credits, scroll with a pause, scrolling as a block, typewriter, and
15 the like. A font menu **288** may be used to dictate the character type. An “over black” control **284** may be used to insert an additional black frame at the play-head position and the title over the black frame. An example of a reference element with text over black **285**, having the title, “THE END” is shown on time line **240**. On the other hand, a “color” control **286** may be used to overlay the text over the current frame. The text
20 may be shown in a preview window **290** by selecting a preview control **292**. Preview simulates the edited reference without the edited version being actually saved in storage. An add control **294** may be provided for storing the reference with the title. The reference with edit feature **296** may then be shown on the monitor window **246**. The initial clip 01 may be split into the reference with edit feature **296** and remaining
25 portion reference **298**, as shown on video time line **240**.

A transition control **300** has instructions for inserting an effect between clips, where selection of the transition control **300** opens a transition window **302**, as depicted in **Figure 6C**. A transition effects menu **304** permits the user to choose from any one of numerous transition options. Examples of transitions are cross dissolve, cross dissolve
30 slow, fade in, fade out, overlap, push right, slowly push right, scale down, slowly scale down, and the like. The frame location of the play-head **314** along transition time ruler **316** may be positioned by the same or similar manner as described above for introducing titles in a clip. The transition is typically inserted as a frame immediately

after the play-head position by reference element with transition **352** and then appears on visual time line **240**.

Furthermore, the exemplary edit box **268** shown in **Figure 6A** includes music control **330** for incorporating music tracks to the presentation where the music may be recorded by controls in the music control window. Sound control **350** in the edit box **268** is for including sound effect to portions of the presentation. Usually, the sound effects are prerecorded and stored within the processing system. Some examples of sounds are cat meow, dog bark, crickets, crowd applause, trumpets fanfare, wild laugh, water lapping, whistle, thunder, horn honk and footsteps.

The various tasks that control the edit and storage of information and reference data are usually performed by a process manager. A process manager **66** is shown in **Figure 2** coupled to storage **30** and processor **26** through bus **22**. During the capturing of information, process manager **66** may allocate space on the storage for keeping a new information file. During the edit process, the user may make use of the tools described above in a variety of ways to form the desired presentation.

The process manager receives selection commands, such as from a user input or software instructions, and responds by selecting the appropriate information and/or reference data, which may be an entire file of information/data or portions thereof. Typically, references are selected, viewed, optionally modified with edit features and transferred to a position on the time line, such as by drag/drop or cut/paste mechanisms. For example, a reference may be selected from the monitor window of an edit sub-window and either cut and pasted into the time line or drug from the monitor window and dropped into the time line. At any time, particular references may be removed from the presentation and returned to the shelf, also through the drag and drop or cut and paste tools. Where a reference is removed, the references remaining in the presentation shift over in the time line to create a seamless continuation of the presentation.

There are numerous editing tasks that are performed by the process manager, some of which are described in the examples below. The exemplary editing methods so described may also be applied to adding any type of editing features to the presentation, such as text, transitions, audio, graphics, etc.

(1) Cut and Paste Editing Example

For one method of cutting or copying a portion of a clip during the processing of a presentation a first reference is initially provided, such as reference A1 corresponding to clip A (0 to 50). Next, the processing manager copies the reference A1 to create a

duplicate reference A2 (0 to 50). The process manager changes the reference data of either the original or copied reference to reflect edit command requests where the in point and out point of reference A2 are modified to (0 to 20). The process manager places modified reference A2 onto a paste board for inserting into a presentation. The reference data that is present in the paste board may be inserted at any point in the presentation along the time line in presentation storage area. If the reference is to be placed prior to or after another reference, already present in the presentation storage area, or if there are no other references provided in the presentation storage area, the reference is simply pasted into the storage area. On the other hand, where the reference is to be nested within another reference, third reference B1 (0 to 30), the reference B1 (0 to 30) is first split at the point where insertion is to occur (unit 15) by copying the reference B1 (0 to 30) to create reference B2 (0 to 30). The in and out points are changed so that the reference B1 (0 to 15) corresponds to the first clip portion prior to the inserted reference A2 and the reference B2 (16 to 30) corresponds to the second clip portion following reference A2. The modified reference A is then pasted between the two reference B's in the presentation storage area.

In subsequent steps, the cut and paste process may be undone to retrieve the original data. For example, reference A2 may be deleted in response to a deletion command and reference A1 is preserved. The continuum time line between reference B1 and B2 is also restored such that there is no gap where reference A2 had previously been positioned. Although referenced B1 and B2 may remain split, the two references run seamlessly to appear as though there is a single reference to the clip.

(2) Adding Edit Features Example

In one method of adding edit features to a clip during the processing of a presentation, a reference A1 corresponding to clip A (0 to 50) is provided. The reference A1 is copied to create a duplicate reference A2 (0 to 50). Usually, selected edit features may be added to either the beginning (first frame) or ending portions (last frame) of the clip represented by the original or the copy reference. Where the edit feature is intended for the beginning or ending unit(s) of a reference, the feature is simply inserted in the reference and the reference having the edit feature is placed in the presentation storage area. Movement of the reference may be through the cut and paste methods described above or by a user command to drag and drop the reference in place.

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In the alternative, text may be intended for a middle portion of a clip. The reference A2 (0 to 50), that is targeted for text editing is split at the location (between unit 25 and 26) for the text by copying to the reference and forming reference A2(a) (0 to 50), and reference A2(b) (0 to 50). The in and out points of the references are modified to reflect the split to reference A2(a) (0 to 25) and reference A2(b) (26 to 50). The text may be added to the beginning unit(s) or ending unit(s) of the split reference **152** and the edited reference added to the presentation storage area **154**. The text may be added to the last unit of reference A2(a) at 25 and may additionally be included in any sequential units previous to 26 without skipping any units in the timeline. It is also possible to add the text to reference A2(b) starting at unit 26, and to any sequentially following units without skipping any such units.

In addition, where a user does not need a segment of information for the presentation, a clip or portion of a clip may be removed from storage. Entire clips or portions of clips may be deleted by selecting the reference data and dragging it to the trash icon control **370** on the GUI. After depositing the reference data in the trash, the user may activate a delete control. For example, the user may select an "empty trash" e.g. from a pull down menu. In still other embodiments, the user may activate a "cut" command, e.g. from a pull down menu, to have the selected data placed on a paste board. The user may then send delete instructions to the processing system and the data as well as corresponding information is deleted. In another embodiment, an intermediary trash bin or paste board is not used and the user simply elects to delete selected reference data. Other methods for the user to send selection, deletion and trash commands are also possible and intended by the present invention.

Where the system receives such trash and/or delete command from a user input or software instruction or other means, the process manager **44** may respond by deleting the relevant information and references thereof. Furthermore, where the selected data is cut and placed onto a paste board, the process manager may respond to a delete command by deleting the data from the paste board and as well as its associated information.

An optional trash depository **58** may be provided in storage **30**, as shown in **Figure 2**, to temporarily hold references, reference data, the time based stream of information, or any portions thereof. The contents of the trash depository may be accessed, manipulated, or removed by the processing system. Often, the trash depository **58** serves as a holding bin for unwanted data prior to its deletion from

storage. By dropping the data into the trash depository, the user may continue with uninterrupted editing and later empty the trash, *i.e.*, delete the contents and any associated information, at a convenient time. At any time while the reference data is in the trash depository, the processing system may receive a cancel command, such as
5 from the user or other means. In the case of a cancel command, the process manager returns the reference data back to its original location and restores the initial reference, *e.g.* in and out points, rather than deleting the reference and the information.

The process manager may perform such delete action by directly and permanently eliminating the selected information (and associated reference data) from
10 storage. This direct elimination may take place by the process manager copying over the relevant file. If there is any information occurring prior to or after the eliminated section, the remaining information appears to be continuous and uninterrupted by the copy over the excluded section. In the alternative, the deletion may also take place by changing a virtual file allocation table (VFAT, FAT or FAT32) to indicate that the
15 space that has been used by that file is available for reuse by another file. When new files are written to that space, the deleted data is overwritten and thus, permanently eliminated. Prior to this overwriting, it may be possible for the deletion to be reversible.

In one embodiment, direct elimination of information occurs where the beginning portion or middle portion of a clip in a file is selected for deletion. This
20 elimination may occur by various mechanisms. In one embodiment, the system may copy the portion that is to be preserved into a new file and the old file may be removed. In another instance, the system may simply shift over the preserved data to replace the eliminated data. For example, where units 0-30 are to be eliminated from a clip of 0-40, units 31 to 40 may be moved into the space previously occupied by 0-30, thus creating
25 a clip 0-9. In this manner the header information that may be present in the beginning of a clip may also be preserved.

Where a tail end of a clip in a file is to be deleted, the clip may be merely truncated such that the ending portion is marked as extra space that is available for reuse. Thus, the beginning header information is still present in the file. Furthermore, in
30 order to erase all of a file that is selected for elimination, the deletion may take place by merely marking the entire file as deleted and available for reuse as described above.

In any case, the process manager may also be triggered to perform several relevant routines upon receipt of the delete command. In one embodiment, a reference check method is performed when the system receives a selected information command

and a delete command. The process manager responds to the delete command by checking in the reference database to determine if a single or no reference data to the selected information exists. Where the process manager concludes that more than one reference data set to the selected information exists, the process manager fails to eliminate the selected information from storage. Rather than deleting the information from storage, only the selected reference data is deleted. On the other hand, if the process manager establishes that more than one reference data set to the selected information does not exist, the process manager deletes the selected reference data contained within a reference or portion of a reference, if any are such data present in the storage, and also deletes the selected information from storage.

The presentation, as edited, may be saved in a variety of formats, such as a QuickTime file or other formats suitable for transfer via e-mail, web pages, CD-ROM, etc. The presentation may be accessed at a later time for additional editing or may be transferred from the processing system, such as conveyed to an external player.

At times, an edited version of the time based stream of information may be produced by the system and stored. The time based stream of information that corresponds to an edited reference is copied into storage. Then, either the original or copy of the information is modified to reflect the edited reference. Thus, the storage includes both the original time based stream of information and edited version of the information.

The total amount of storage space available for new files is determined by a space monitor 68. Space monitor 68 is coupled to storage 30 through bus 22. When a new file is created to hold information, the space monitor ascertains the amount of space that is utilized by the new file and subtracts this consumed space to recalculate the remaining amount of space in storage.

In one configuration of processing system 12, a means for communicating with an information source that has a time based stream of information is provided. For example, bus 22 additionally couples detector 24 to the processor 26. The processing system may detect a connection between the processing system and the information source through detector 24. The detector 24 performs an inquiry as to whether an information source is in communication with the processing system. The detector determines a connection with the information source by sensing particular signals, such as electrical current output, that are released from the information source, and usually

when the source is energized, *i.e.* "turned-on." The detector may be further configured to conduct periodic checks for communication with the information source.

Means are provided for capturing the time based stream of information from the information source, such as a capture card or other capture mechanisms. The transfer rate of the information entering the system is usually the same or substantially the same speed that the information runs prior to entering the system, such as the rates established by the video standards of various regions. For example, movie film usually runs with a speed of 24 fps. Some video follows the NTSC (system used in North America and Japan), where the video speed is 30 fps.

The information may be captured and written into storage by various mechanisms. One capture mechanism is a sequential-type method in which an application procedure is executed when the processing system is in capture mode. In one stage of the application procedure, signals from an information source are captured. The system reads the information, shows the information on the display and writes the information to a storage medium. However, when control elements are activated, the system moves away from the capture step and switches to another stage that includes a process control commands loop for handling the control instructions. The CPU of a system employing such a sequential-type method is dedicated to processing the control commands. While application procedure is in the process control command loop, the capturing operation ceases and the processing system stops communicating with the information source. During this period, the information that is released from the information source does not get loaded into the system. Rather, the processing of control commands continues through to completion, at which time, the capturing step may continue.

Another capture mechanism, according to the present invention, is a low-level interrupt-type method, which effectively permits intermittent processing of control element commands while, at the same time, loading the time-based information. With the interrupt-type method, in addition to the capture application, a user may run any software applications, *e.g.* network communication protocols, such as Appletalk, Internet or e-mail applications, word processing applications, etc.

An interrupt-type method includes an application procedure that may be executed when the processing system is in capture mode and when it is not in capture mode, *e.g.* when the system is in edit mode. Where the processing system is operating in a non-capture mode, the application procedure conducts normal operations, such as

processing of control commands from control elements. However, when the capture mode is indicated, the interrupt procedure fires the appropriate capture tasks and causes a temporary suspension of the application procedure in an asynchronous manner. Thus, the processing of control commands proceeds until the capture process interrupts the other application tasks.

This interrupt procedure may be performed at periodic intervals and are certain to iterate at regular times, despite the activities, e.g. processing of control commands, of the application procedure. The interrupt procedure includes a quick reading of incoming information, copying the information into a proxy, outputting the information and writing it into storage where the information may be further edited by use of the control elements. The interrupt procedure is advantageous in that the process is very quickly performed and rapidly repeats. The time for performance is less than the transfer rate of the information entering the system.

The repetitions of the interrupt procedure occur at a rate that is substantially similar to or the same as the transfer rate. Thus, all of the information may be read and stored while still processing control commands in-between each of the iterations of the interrupt procedure. As a result, the user does not recognize the interruptions and the capture process as well as other instructions appear to be simultaneously executed by the system. Moreover, the interrupt procedure guarantees that information is not omitted from the reading step because the iterations of the interrupt procedure and length of time to perform are timed to correspond with the rate of information transfer from the information source.

For transfer of NTSC video, the interrupt procedure iterations are typically at least every 1/29 to 1/30 second and more usually 1/29.97 second to 1/30 second. For PAL systems (systems used in parts of Europe, China and Hong Kong), the frame rate is 25 fps and thus interrupt procedure is substantially at least every 1/25 second. In general, the interrupt procedure should iterate close to the transfer rate or slightly faster than the transfer rate, e.g. within at least 1/10th second of the transfer rate and more typically within 1/100th second. The iterations should not be slower than the transfer rate and the iterations are usually at the rate that corresponds to the frame rate of video standard.

Usually, the processing system according to the present invention, outputs the information, e.g. depicts the information on the display at a rate (the "output rate") that is substantially similar to the rate in which each unit of the information, e.g. frame of

video, is arriving from the information source (the "transfer rate"). In contrast with prior systems, where low quality displaying (i.e. considerably lower than the transfer rate, such as 30 to 70% of the transfer rate) is used to devote more of the system to capture, the present invention may use high quality (i.e. the same or substantially similar to the transfer rate, such as greater than 70% and more usually 93 to 100% of the transfer rate) because all of the information is certain to be stored by the interrupt procedure. The speed at which the information is displayed depends on numerous factors, such as side operations being simultaneously performed by the system, e.g. network access and CD ROM detection, image quality, e.g. based on decompression schemes employed, and processor speed or graphic acceleration. For example, where the transfer rate is about 30 frames per second (fps), the corresponding output rate of the present invention is usually 29 to 30 fps. The transfer rate depends on the particular transfer rate standard employed, as described in detail below. The resulting capture output is of high quality that is similar to the quality of the information residing in the information source.

During the capture process, the time based stream of information is written into storage to be referenced while creating the presentation. For convenience, the stored information may be compressed to conserve storage space. Generally, compression formats are either high efficiency or low efficiency and either loss-less or lossy. Lossy compression schemes are characterized by components of an original image being absent from a reconstructed image after a compression-decompression cycle, whereas loss-less schemes do not drop any information.

Low compression schemes (i.e., those that do not provide significant compression ratios) that may be used include joint photographic experts group (JPEG) compression schemes that use Fourier analysis-based methods, such as the discrete cosine transform, to compress data; graphics interchange format (GIF) compression schemes, which use LZW algorithms; bitmapped image compression schemes and tagged image file format (TIFF) compression schemes, etc. Alternatively, high efficiency compression schemes, such as wavelet, motion wavelet, Motion Picture Experts Group (MPEG) motion JPEG, Lempel Ziv and/or fractal compression schemes, etc., may be employed. Use of high efficiency schemes may be preferred where storage space is limited. For example, wavelet compression schemes may be 20 times more efficient than JPEG compression schemes, providing for a more detailed representation

of the data at the same bit budget. Once the time based information is captured and stored, it may be referenced for editing and generating a presentation.

In addition to the features described above, the processing system may further include alternative aspects that enhance ease and convenience of use. For example, referring again to **Figure 2**, the information source **80** may be controlled through the processing system **12**. Source controls operate the information source **80**, usually where no digital converter **82** is employed as an intermediate between the information source and processing system. The source controls may be presented on the GUI. In one embodiment, the output controls **248**, as shown in **Figure 7A** also serve as the source controls to direct the monitor window views at one time and send action commands to the information source **80** at another time. Thus, the source controls may include rewind control **252**, forward control **254**, play control **250**, pause control **258** and stop control **256**.

SOFTWARE OVERVIEW

Various software components, e.g. application program, may be provided within or in communication with the system that cause the processor to execute the numerous methods employed in creating the presentation. **Figure 8** is a block diagram of a machine-readable medium storing executable code and/or other data to provide one or a combination of operations, e.g. mechanisms for collecting and manipulating the time based stream of information, according to one embodiment of the invention. The machine-readable storage medium **400** represents one or a combination of various types of media/devices for storing machine-readable data, which may include machine-executable code or routines. As such, the machine-readable storage medium **400** could include, but is not limited to one or a combination of a magnetic storage space, magneto-optical storage, tape, optical storage, dynamic random access memory, static RAM, flash memory, etc. Various subroutines may also be provided. These subroutines may be parts of main routines or added as plug-ins or Active-X controls.

The machine readable storage medium **400** is shown storing a GUI routine **402**, which, when executed, provides a layered GUI for display of control elements, such as the GUI **230** that may be used in an editing processing system shown in **Figures 7A-7C**. The GUI routine **402** includes a number of mechanisms for playing or otherwise displaying the time based stream of information. A subroutine for accessing a graphic file The presentation, as edited, may be saved in a variety of formats, such as a QuickTime file or other formats suitable for transfer via e-mail, web pages, CD-ROM,

etc. The presentation may be accessed at a later time for additional editing or may be transferred from the processing system, such as conveyed to an external player.

A subroutine for accessing the graphic file **404** is provided, as described in regards to **Figures 3 to 5**. Usually the layers of the graphic file are read in hierarchical order. Furthermore, a present control elements subroutine **408** permits control elements to be visible on the display as determined by control objects in the graphic file. Another subroutine may be for launching an edit application program that displays the user interface and a further subroutine to manipulate the control objects for altering the control element attributes.

The medium **400** also has a process control command routine **418** that directs the carrying out of various commands, such as for manipulating information and constructing a presentation. The control commands may be generated by activation of control elements by the user. Upon receipt of the control commands, the process control command **418** may send instructions to the GUI Routine **402** to specify particular information to be presented or manner of presenting information. The process control command **418** routine may also send the commands to a process management routine, such as an add edit feature command, undo command, delete command, select information command, cancel delete command, and the like, as described below.

The medium also has a process management routine **410** that may have various subroutines for conducting various tasks related to editing and carrying out instructions from the process control command routine **418**. One such subroutine is a cut/copy/paste subroutine **412**, which when executed, references or portions thereof are cut, copied and/or pasted into a presentation. The process management routine **410** may be also configured for conducting an add edit feature subroutine **416** to include particular edit features to references for use in a presentation. An undo edit subroutine **414** may optionally be included whereby certain edit tasks may be undone to reclaim the unmodified version of data

Other software components may include an operating system **420** and a presentation transfer routine **422** that facilitates communication of information from the processing system, such as via external player **84**. Various modes of transfer are described above with reference to the external player **84** in **Figure 2**.

The software components may be provided in as a series of computer readable instructions that may be embodied as data signals in a carrier wave. When the instructions are executed, they cause a processor, such as through a browser to perform

the capture and editing steps as described. For example, the instructions may cause a processor to communicate with an information source, provide capture information from the time based stream of information on a first portion of a display, provide process information for constructing the presentation on a second portion of the display; and provide at least one enabled control element. Such instructions may be presented to the processor by various mechanisms, such as a plug-in, ActiveX control, through use of an applications service provided or a network, etc.

The present invention has been described above in varied detail by reference to particular embodiments and figures. However, these specifics should not be construed as limitations on the scope of the invention, but merely as illustrations of some of the presently preferred embodiments. It is to be further understood that other modifications or substitutions may be made to the described information transfer system as well as methods of its use without departing from the broad scope of the invention. Therefore, the following claims and their legal equivalents should determine the scope of the invention.

1 **CLAIMS**

2 What is claimed is:

- 3 1. A method for producing a graphical user interface, the method comprising:
- 4 storing a graphic file having at least one control object, each control
- 5 object in a separate layer, and
- 6 launching an application program to access the graphic file and to
- 7 display a control element on the graphical user interface, the control
- 8 element having at least one attribute dictated by one of the control
- 9 objects.
- 10 2. The method of claim 1, wherein the graphic file has a first control object in a
- 11 layer dictating one attribute of the control element and a second control object in
- 12 a separate layer dictating another attribute of the control element.
- 13 3. The method of claim 2, wherein the first control object and the second control
- 14 object share a common name attribute.
- 15 4. The method of claim 2, wherein the layer of the first control object is grouped
- 16 with the layer of the second control object.
- 17 5. The method of claim 1, wherein the graphic file is editable and the at least one
- 18 control object may be added, deleted or altered.
- 19 6. The method of claim 1, wherein the control element is an edit control to
- 20 manipulate a time-based stream of information.
- 21 7. The method of claim 1, wherein the attribute is an appearance, location or size.
- 22 8. The method of claim 1, wherein the attribute is the element type, state, function
- 23 or behavior in a particular environment.

- 1 9. A computer system comprising:
- 2 a storage;
- 3 a display device; and
- 4 a processor for:
- 5 storing a graphic file having at least one control object, each
- 6 control object in a separate layer, and
- 7 launching an application program to access the graphic file and to
- 8 display a control element on the graphical user interface, the
- 9 control element having at least one attribute dictated by one of
- 10 the control objects.
- 11 10. The system of claim 9, wherein the graphic file has a first control object in a
- 12 layer dictating one attribute of the control element and a second control object in
- 13 a separate layer dictating another attribute of the control element.
- 14 11. The system of claim 10, wherein the first control object and the second control
- 15 object share a common name attribute.
- 16 12. The system of claim 10, wherein the layer of the first control object is grouped
- 17 with the layer of the second control object.
- 18 13. The system of claim 9, wherein the graphic file is editable and the at least one
- 19 control object may be added, deleted or altered.
- 20 14. The system of claim 9, wherein the control element is an edit control to
- 21 manipulate a time-based stream of information.
- 22 15. The system of claim 9, wherein the attribute is an appearance, location or size.
- 23 16. The system of claim 9, wherein the attribute is the element type, state, function
- 24 or behavior in a particular environment.
- 25 17. A system for producing a graphical user interface, comprising:

1 means for storing a graphic file having at least one control object, each
2 control object in a separate layer;
3 means for launching an application program to access the graphic file
4 and to display a control element on the graphical user interface, the
5 control element having at least one attribute dictated by one of the
6 control objects.

7 18. The system of claim 17, wherein the graphic file has a first control object in a
8 layer dictating one attribute of the control element and a second control object in
9 a separate layer dictating another attribute of the control element.

10 19. The system of claim 18, wherein the first control object and the second control
11 object share a common name attribute.

12 20. The system of claim 18, wherein the layer of the first control object is grouped
13 with the layer of the second control object.

14 21. The system of claim 17, wherein the graphic file is editable and the at least one
15 control object may be added, deleted or altered.

16 22. The system of claim 17, where in the control element is an edit control to
17 manipulate a time-based stream of information.

18 23. The system of claim 17, wherein the attribute is an appearance, location or size.

19 24. The system of claim 17, wherein the attribute is the element type, state, function
20 or behavior in a particular environment.

21 25. A computer readable medium having stored therein a plurality of sequences of
22 executable instructions, which, when executed by a computer system for
23 producing a graphical user interface, cause the processor to:

24 store a graphic file having at least one control object, each control object
25 in a separate layer;

- 1 launch an application program to access the graphic file and to display a
2 control element on the graphical user interface, the control element
3 having at least one attribute dictated by one of the control objects.
- 4 26. The computer readable medium of claim 25, wherein the graphic file has a first
5 control object in a layer dictating one attribute of the control element and a
6 second control object in a separate layer dictating another attribute of the control
7 element.
- 8 27. The computer readable medium of claim 26, wherein the first control
9 object and the second control object share a common name attribute.
- 10 28. The computer readable medium of claim 26, wherein the layer of the first
11 control object is grouped with the layer of the second control object.
- 12 29. The computer readable medium of claim 27, wherein the graphic file is editable
13 and the at least one control object may be added, deleted or altered.
- 14 30. The computer readable medium of claim 25, wherein the control element is an
15 edit control to manipulate a time-based stream of information.
- 16 31. The computer readable medium of claim 25, wherein the attribute is an
17 appearance, location or size.
- 18 32. The computer readable medium of claim 25, wherein the attribute is the element
19 type, state, function or behavior in a particular environment.

ABSTRACT

- A method of creating a graphical user interface having control(s) to direct any task through a computer system is provided. One application of the user interface is for manipulating a time based stream of information to create a presentation. The methods
- 5 use a layered graphic file that is accessed by an application program to generate the user interface. Each layer of the graphic file contains a control object to an element of the user interface. The control objects are capable of being revised in order to make alterations to the user interface. Other aspects of the present invention relating to the graphical user interface are also described.

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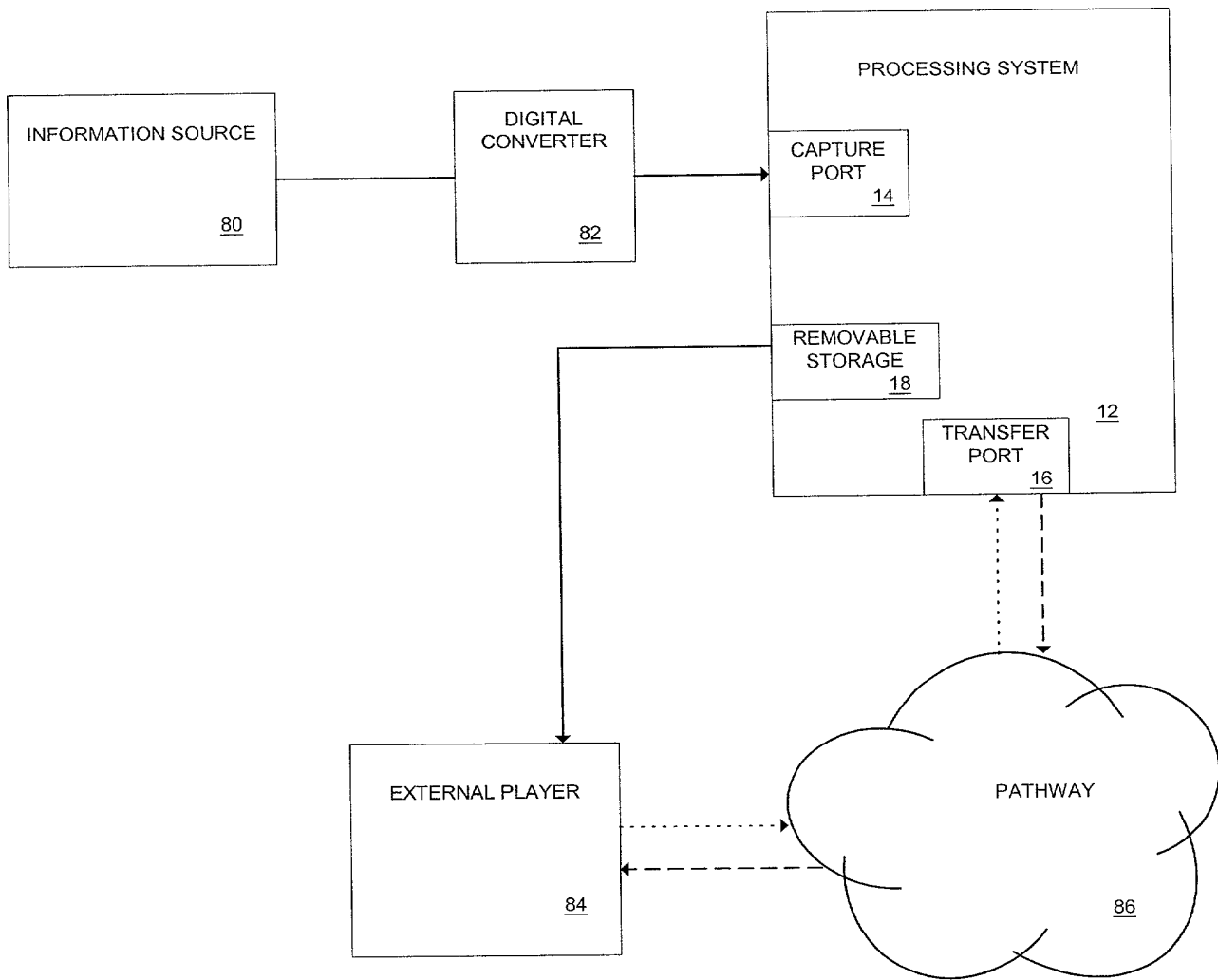


FIGURE 1

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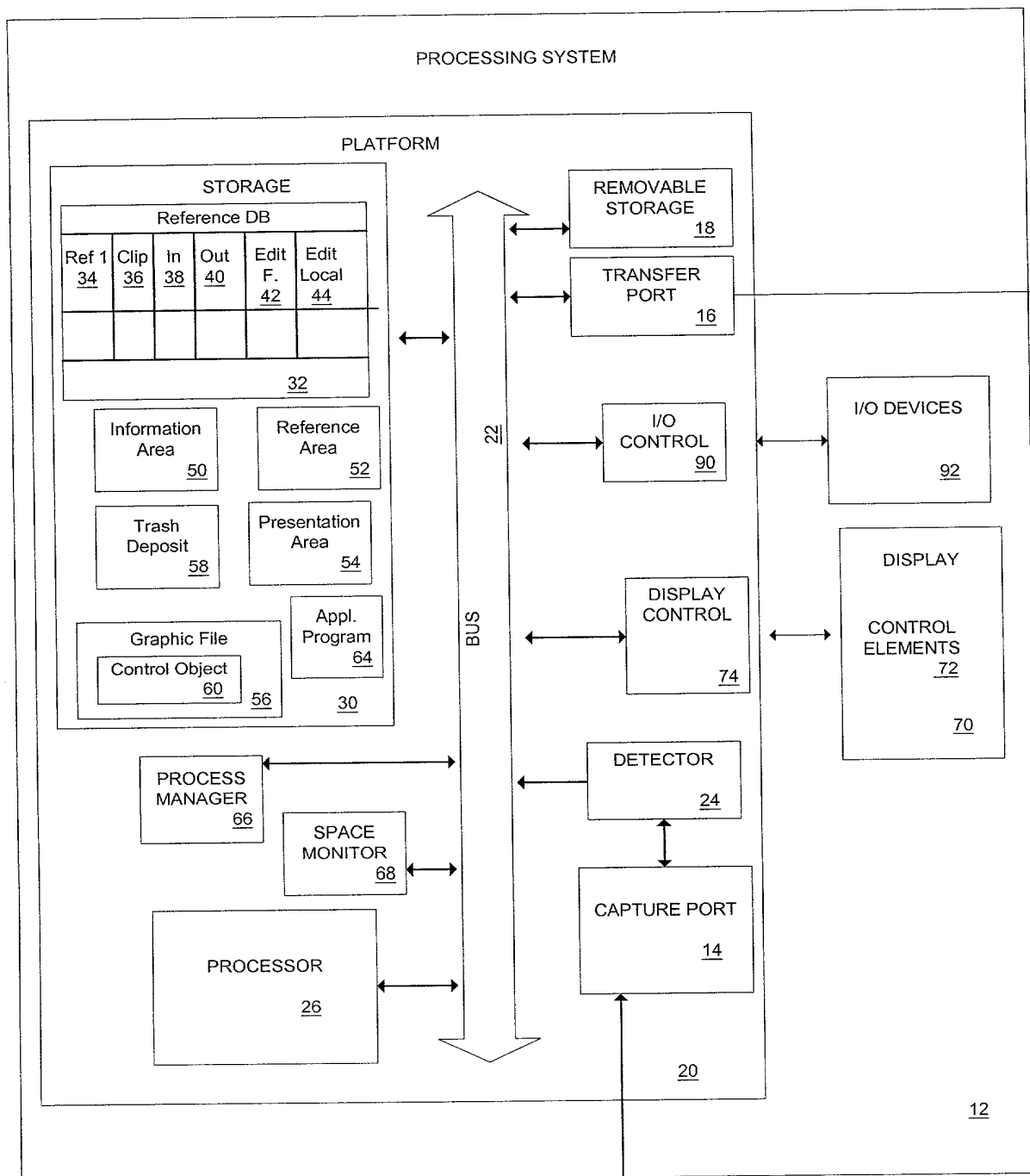


FIGURE 2

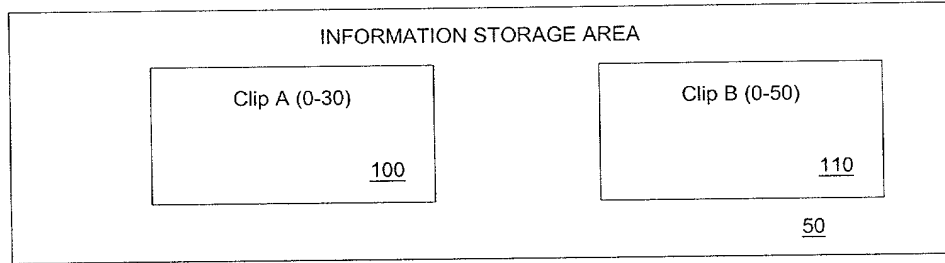


Figure 3A

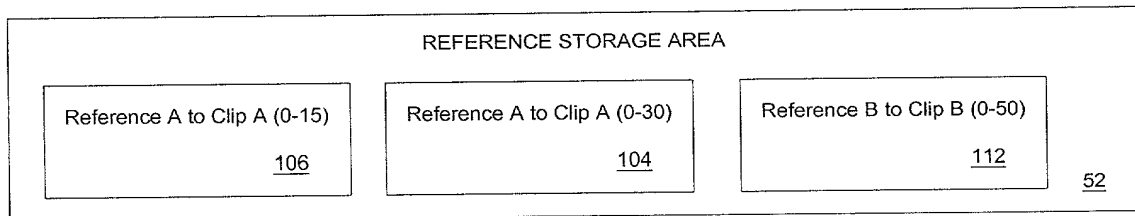


Figure 3B

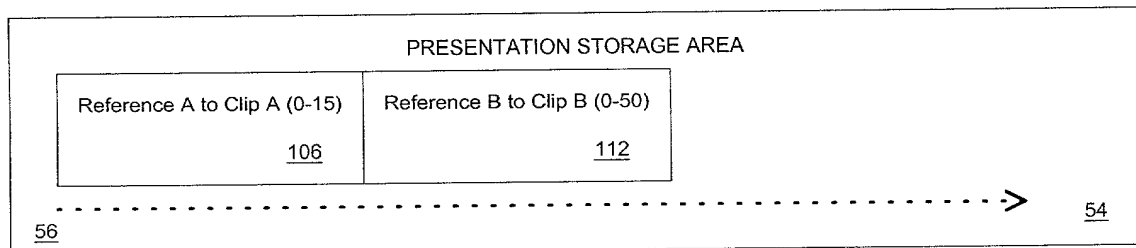


Figure 3C

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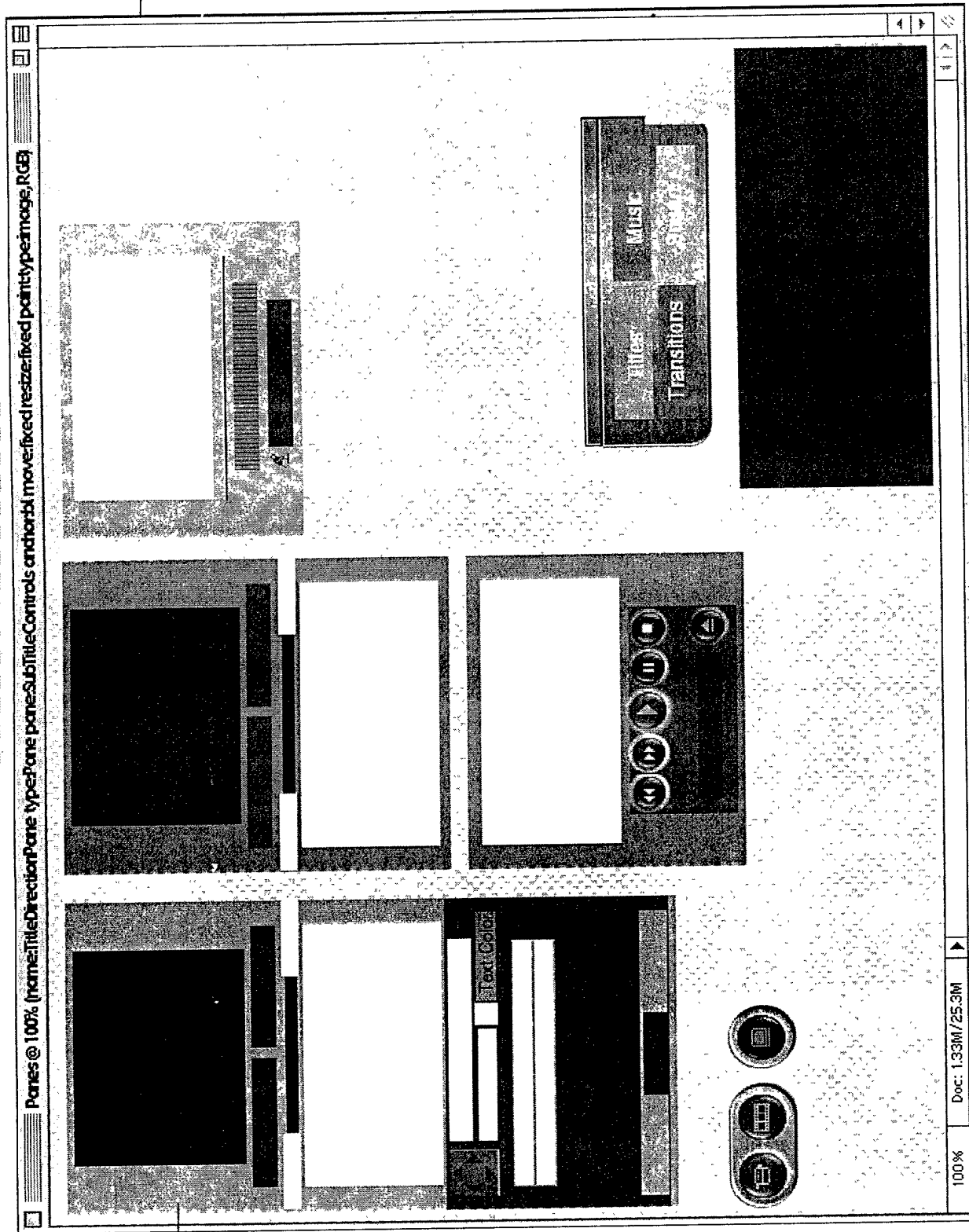


FIGURE 4

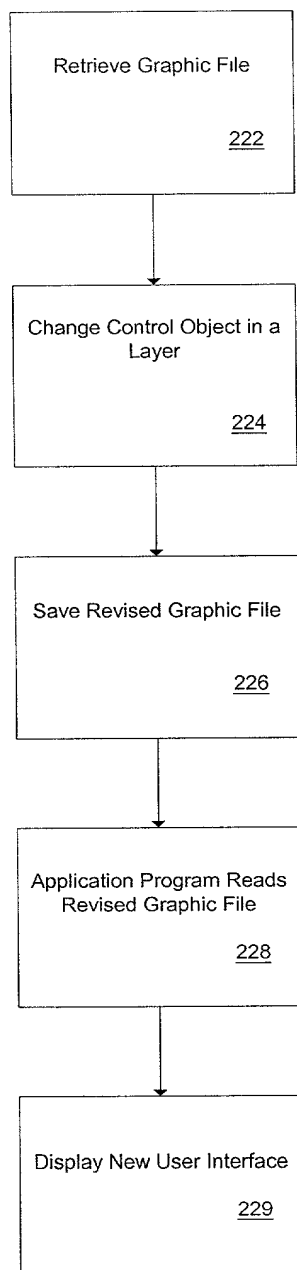


Figure 6

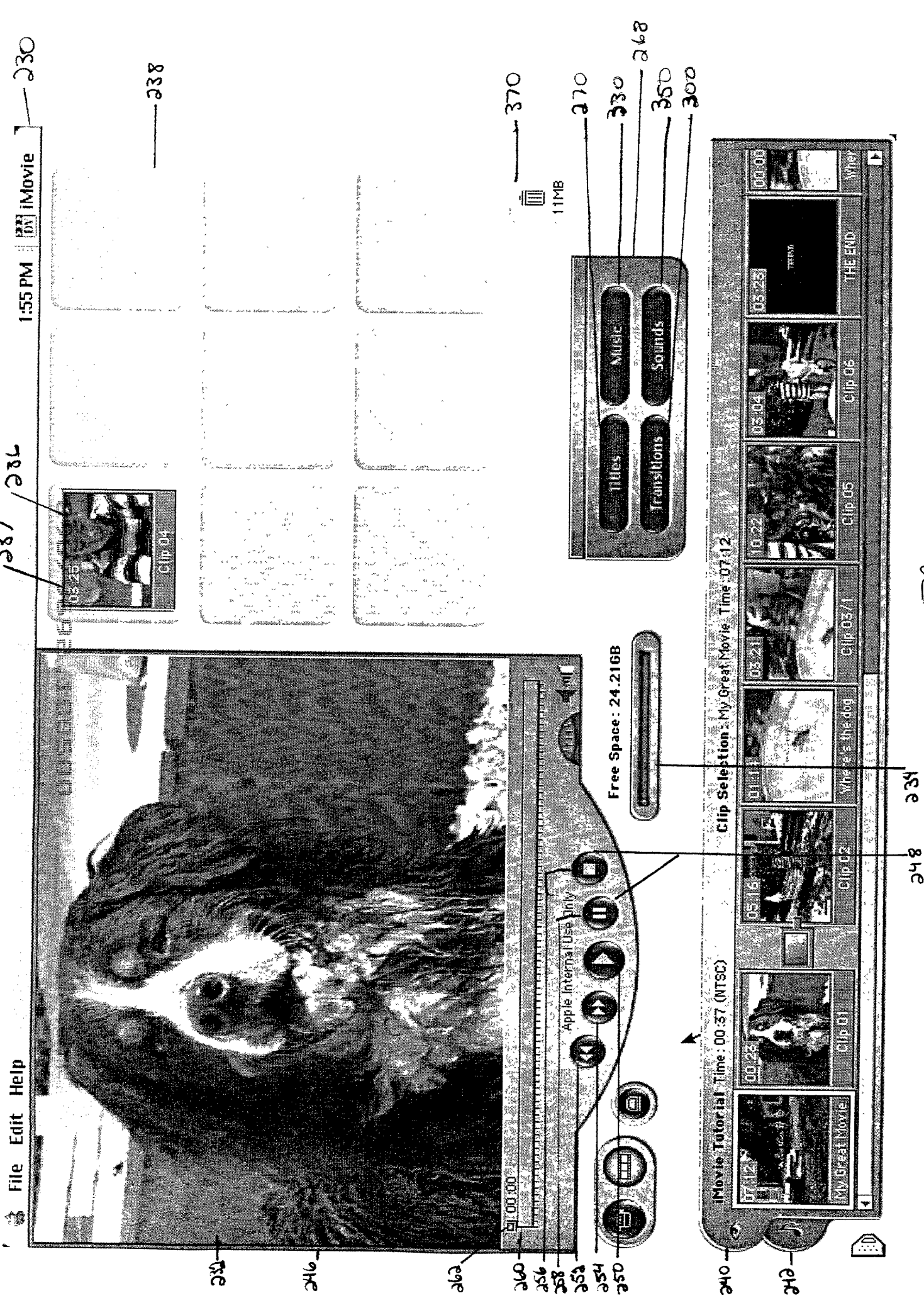


FIGURE 7A

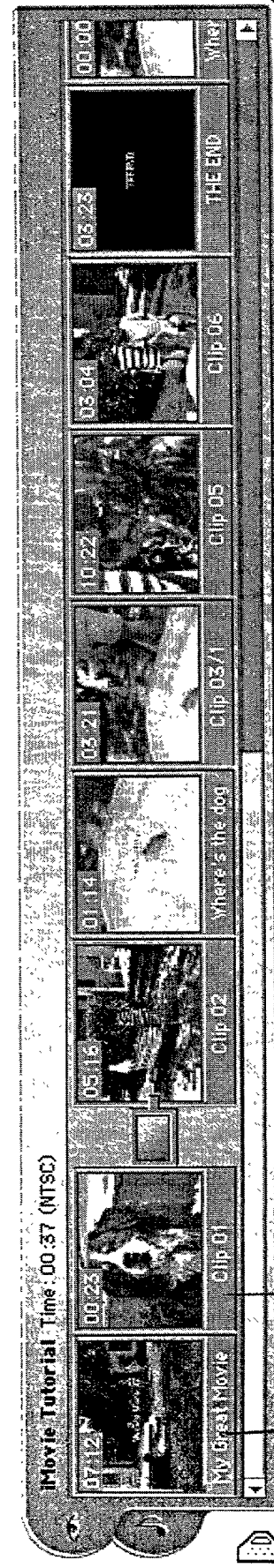
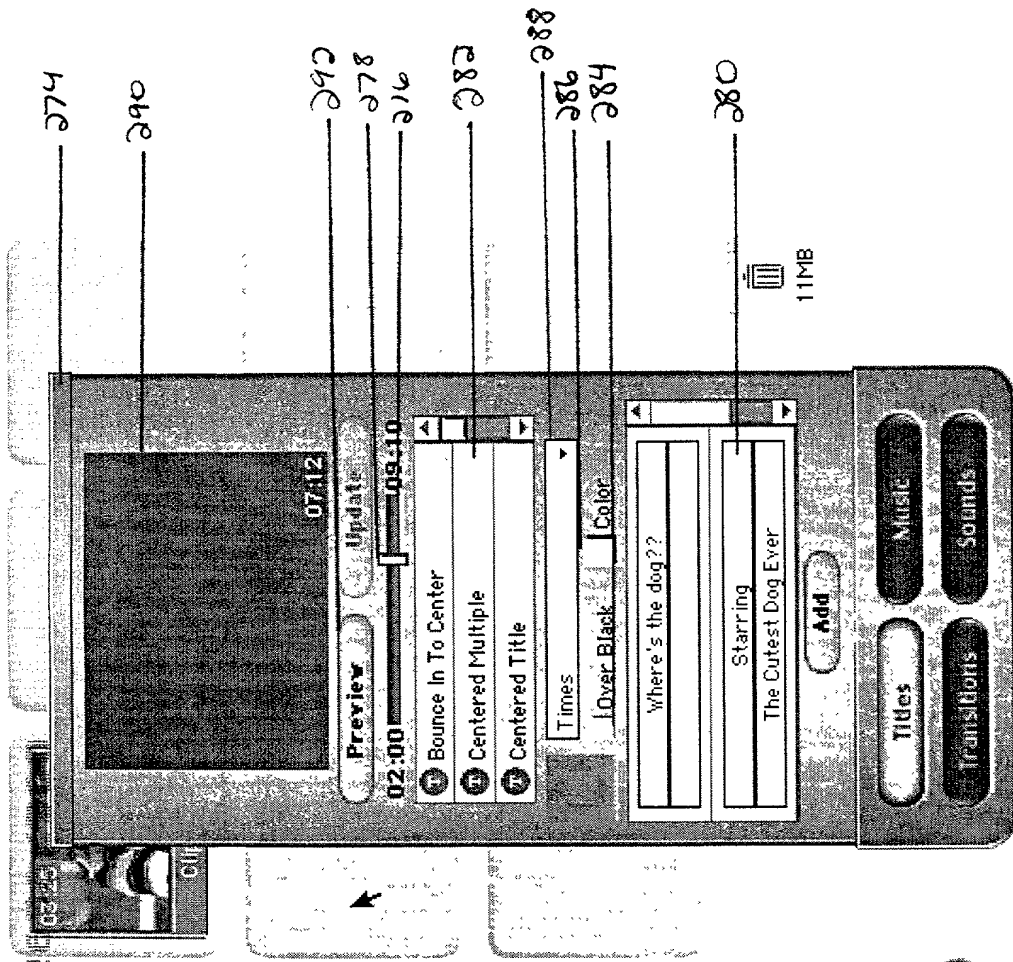


Figure 7B



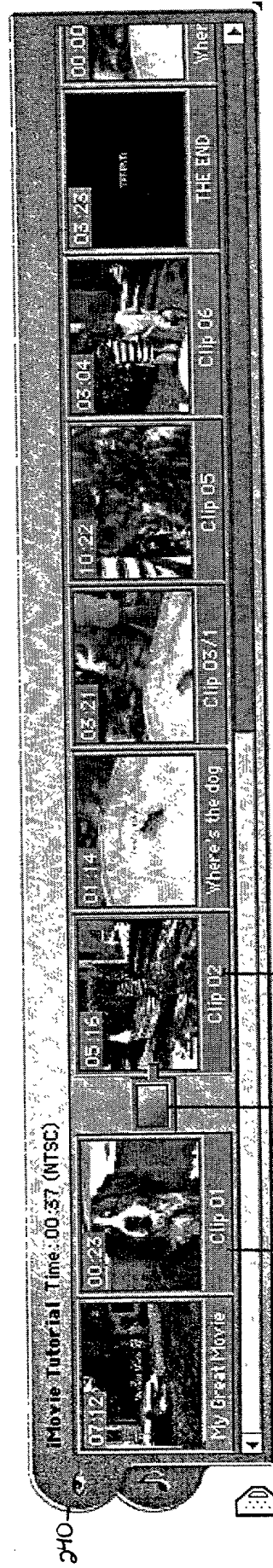
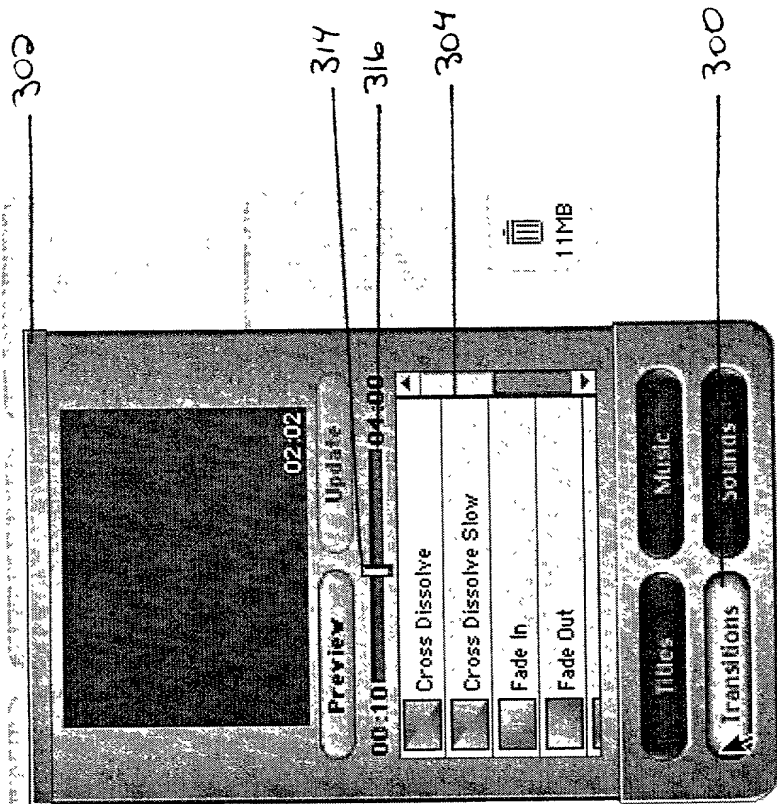


FIGURE 7C

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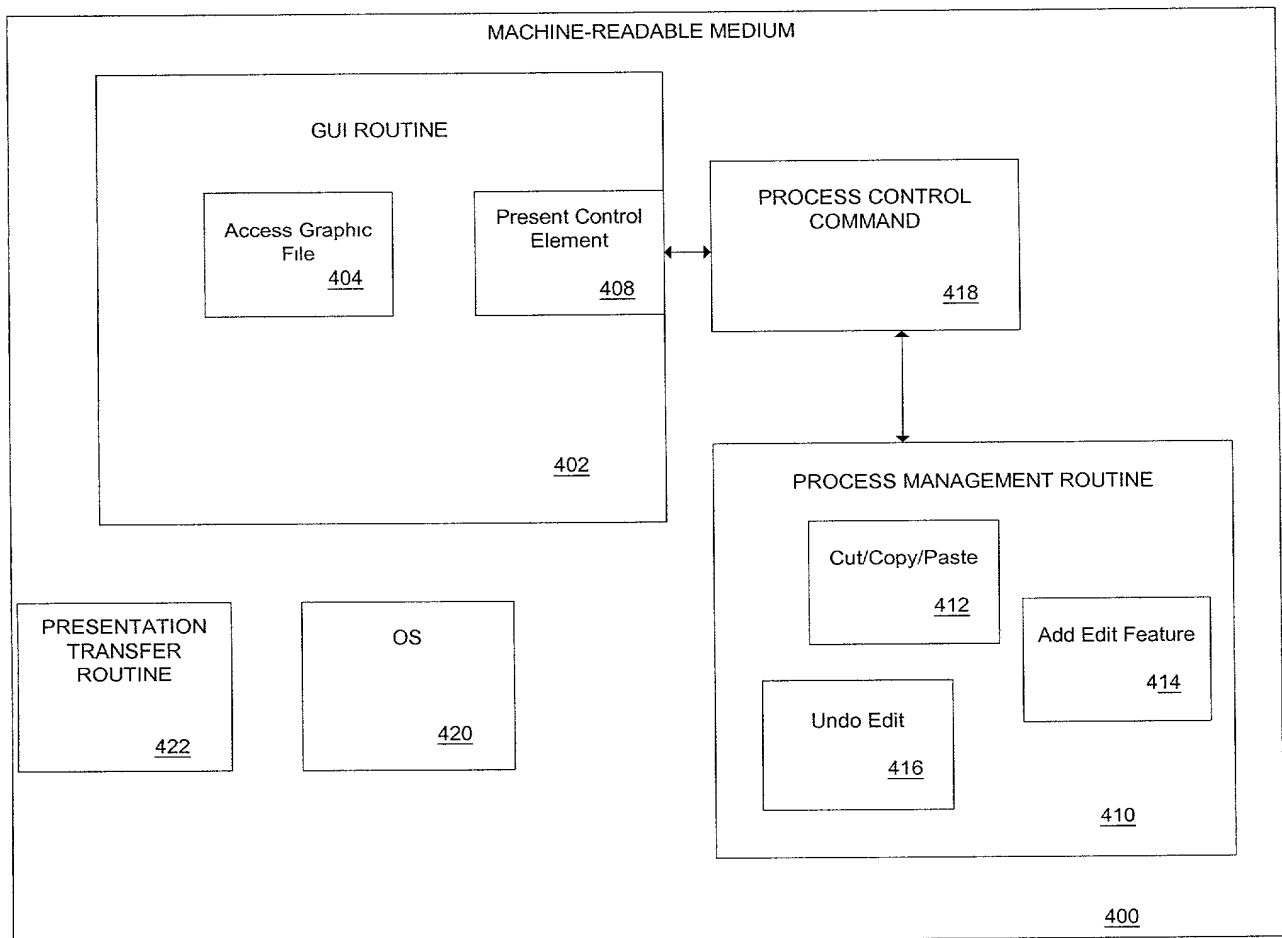


FIGURE 8

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LAYERED GRAPHICAL USER INTERFACE

the specification of which

 X is attached hereto.
 was filed on _____ as
United States Application Number _____
or PCT International Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority
Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

_____ (Application Number)	_____ Filing Date
_____ (Application Number)	_____ Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Number)	_____ Filing Date	_____ (Status -- patented, pending, abandoned)
_____ (Application Number)	_____ Filing Date	_____ (Status -- patented, pending, abandoned)

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to **Lisa N. Benado**, BLAKELY, SOKOLOFF, TAYLOR &
(Name of Attorney or Agent)
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct
telephone calls to **Lisa N. Benado**, (408) 720-8598.
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Glenn Reid

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Full Name of Second/Joint Inventor Priscilla Shih

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Full Name of Third/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Full Name of Fourth/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

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APPENDIX A

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APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

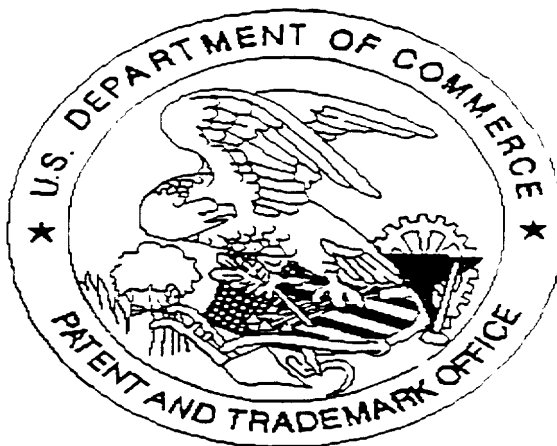
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

United States Patent & Trademark Office
Office of Initial Patent Examination -- Scanning Division



Application deficiencies were found during scanning:

☒ Page(s) 38-49 of the specification were not present:
for scanning. (Document title)

☐ Page(s) _____ of _____ were not present:
for scanning. (Document title)

*There are two pages of specification numbered
page 50.*

☒ Scanned copy is best available. *drawings*